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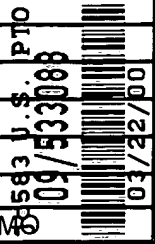
**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.**Complete if Known**

Application No.	Pending
Filing Date	March 22, 2000
First Named Inventor	Miral Kim-E
Group Art Unit	
Examiner Name	

**TOTAL AMOUNT OF PAYMENT****\$843.00****Attorney Docket Number****03284-P0001B WWW/TMG****METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

19-4516

St. Onge Steward Johnston &amp; Reens LLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the issue Fee Set in 37 CFR 1.18 of the Mailing of the Notice of Allowance

2. ☒ **Payment Enclosed:**

☒ Check ☐ Money ☐ Other  
Order

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	\$345.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1) \$345.00****2. EXTRA CLAIMS FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
58	-20 = 38	X 9 =	\$342.00
Independent Claims	7	-3 = 4	X 39 = 156.00
Multiple Dependent (No.)		X	

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claims
108	78	208	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$843.00****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing for or oath	
127	50	227	25	Surcharge - late provisional filing or cover Sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner Action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Informational Disclosure Stmt	
581	40	581	40	Recording each patent assignment per Property (times number of properties)	
146	790	246	395	Filing a submission after final rejection (37/CFR 1.129(a))	
149	279	249	395	For each additional invention to be Examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee paid

**SUBTOTAL (3) (\$)** - 0 -**SUBMITTED BY** St. Onge Steward Johnston & Reens LLC**Complete (if applicable)**

Typed or Printed Name

Todd M. Oberdick

Reg. Number

44,268

Signature

Todd M. Oberdick

Date

03/22/00

Deposit Account User ID

19-4516

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

03/22/00  
JC784 U.S. PTO

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box →

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03-23-00

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PTO/SB/05 (4/98)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket		03284- P0001B	
		First Inventor or Application Identifier		Miral Kim-E	
		Title		Network-Based Trading System And Method	
		Express Mail Label No.		EM590609856US	
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents				<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)		jc583 U.S. PTO 09/533088 03/22/00	
2. <input checked="" type="checkbox"/> Specification [Total Pages 82] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims(s) - Abstract of the Disclosure		6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies			
3. <input checked="" type="checkbox"/> Drawings(s) (35 USC 113) [Total Sheets 20]		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
4. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> New executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting Inventors(s) named in the prior application, see 37 CFR a.63(d)(2) and 1.33(b).		8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (where there is an assignee)			
		9. <input type="checkbox"/> English Translation Document (if applicable)			
		10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations			
		11. <input type="checkbox"/> Preliminary Amendment			
		12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
		13. <input checked="" type="checkbox"/> * Small Entity Statement filed in prior application Statement(s) Status still proper and desired (PTO/SB/09-12)			
		14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)			
		15. <input type="checkbox"/> Other: .....			
<b>NOTE FOR ITEMS 1 &amp; 4: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b>					
<b>16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information</b> <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application No.: 60/134,956 Prior application information: Examiner Group/Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
<b>18. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number of Bar Code Label (Insert Customer No or Attach bar code label here) or <input checked="" type="checkbox"/> Correspondence address below					
NAME		Wesley W. Whitmyer, Jr.			
ADDRESS		St.Onge Steward Johnston & Reens LLC 986 Bedford Street			
CITY		STATE	CT	ZIP CODE	06905-5619
COUNTRY		United States	TELEPHONE	203 324-6155	FAX 203 327-1096
Name (Print/Type)		Todd M. Oberdick		Registration No. (Attorney/Agent)	44,268
Signature		Todd M. Oberdick		Date	03/22 /00

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Miral Kim-E
Serial No. - Pending	March <u>22</u> , 2000
Title of Application:	Network-Based Trading System And Method

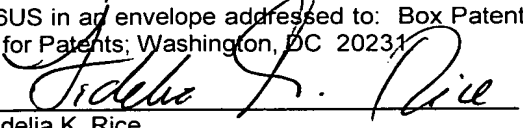
Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

Cover Sheet For Twenty Sheets Of Drawings

Attorneys for Applicant  
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Todd M. Oberdick, Registration No. 44,268  
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203 324-6155

Express Mail Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as *Express Mail Post Office to Addressee* Mailing Label Number EM590609856US in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231

March 22, 2000

  
Fidelia K. Rice